

08/14/01



JC923 U.S. PTO

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 520.40496X00

First Inventor Yoshiaki YAMAUCHI

Title DISC DRIVING APPARATUS

Express Mail Label No.

PTO/SB/05 (11-00)
08/14/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 32]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☒ Other: See Attachment

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____ / _____

Prior application information:

Examiner _____

Group Art Unit. _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

020457

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Melvin Kraus

Registration No. (Attorney/Agent)

22,466

Signature

Date

August 14, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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17. Other Accompanying Application Parts (Cont'd):

Claim For Priority Letter

List & Copies of Prior Art w/refs.

Credit Card Payment Form

Figs.1,2A-B,3A-B,4A-D

[illegible]

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2000</h2> <p style="font-size: small; margin: 0;">Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</p>		<p>Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;"></td> </tr> <tr> <td>Filing Date</td> <td>August 14, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Yoshiaki YAMAUCHI</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Group / Art Unit</td> <td></td> </tr> <tr> <td>Attorney Docket No.</td> <td>520.40496X00</td> </tr> </table>		Application Number		Filing Date	August 14, 2001	First Named Inventor	Yoshiaki YAMAUCHI	Examiner Name		Group / Art Unit		Attorney Docket No.	520.40496X00
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TOTAL AMOUNT OF PAYMENT		(\$870.00)													

<p>METHOD OF PAYMENT (check one)</p> <p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 01-2135</p> <p>Deposit Account Name: Antonelli, Terry, Stout & Kraus, LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p style="margin-left: 20px;"><input type="checkbox"/> Check <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other</p> <p style="text-align: center;">FEE CALCULATION</p> <p>1. 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146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00																																																																																																																																																																																																																																																																																				
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00																																																																																																																																																																																																																																																																																				
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone	Date
Merwin Kraus	22,466	703-312-6600	August 14, 2001
Signature			

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

ATTORNEY REPORT OF NEWLY FILED APPLICATION¹

- 1) **Was the Declaration filed with the application?** Yes / No
If not, please indicate how the Notice to File Missing
Parts should be handled by Formalities Department:

- ☒ Prepare missing parts and client cover letter (forward to atty)
☐ Prepare missing parts, but NO client cover letter (forward to atty)
☐ Forward directly to attorney (w/o preparing missing parts)

- 2) **Were the drawings formal?** Yes / No
If no, have the drawings been sent to a
Draftsman (2 month return requested)? Yes / No

- 3) **Was there a Request for Non-Publication?** Yes / No

- 4) **Is a Preliminary Amendment necessary?** Yes / No
(docket for 3 months from filing date)

- 5) **Is an Information Disclosure Statement necessary?** Yes / No
(docket for 3 months from filing date)

- 6) **What is the priority date under 35 USC §119?** July 27, 01
(If none, write "NONE")
Was a claim for priority filed? Yes / No

- 7) **What is the priority date under 35 USC §120** None
(If none, write "NONE")
Was the first sentence of the specification
amended to refer to the earlier application? Yes / No

Atty initials MLK

¹ This report is to be completed upon the filing of any original utility patent application, CPA application, Rule 53(b) continuation or divisional application, or continuation-in-part patent application in the United States Patent and Trademark Office and initialed by the responsible attorney. It is not completed for PCT applications, foreign filed applications, design applications, trademark applications or Requests for Continued Examination.